

Investment Risk Questionnaire

This questionnaire consists of 15 questions



Client Name: **Date:**

1 I would enjoy exploring investment opportunities for my money.

- I strongly agree with this statement
- I tend to agree with this statement
- In between
- I tend to disagree with this statement
- I strongly disagree with this statement

2 I would go for the best possible return even if there were risk involved.

- Always
- Usually
- Sometimes
- Rarely
- Never

3 How would you describe your typical attitude when making important financial decisions?

- Very Adventurous
- Fairly adventurous
- Average
- Fairly cautious
- Very cautious

4 What amount of risk do you feel you have taken with your past financial decisions?

- Very large
- Large
- Medium
- Small
- Very Small

5 To reach my financial goal I prefer an investment which is safe and grows slowly but steadily, even if it means lower growth overall.

- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
-

- 6** When I consider investments that have an element of risk I feel quite anxious.
- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
- 7** Imagine that six months after making an investment the financial markets start to perform badly. In line with this, your own investment goes down by a significant amount. What would your reaction be?
- Withdraw your money and put it in a savings account to prevent any further losses
 - Transfer your money to a more secure investment product to reduce the risk of further losses
 - Monitor the investment and wait to see if it improves
 - Invest more funds to take advantage of the lower price, expecting future growth
- 8** I am looking for high investment growth. I am willing to accept the possibility of greater losses to achieve this.
- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
- 9** If you had money to invest, how much would you be willing to place in an investment with possible high returns but a similar chance of losing some of your money?
- All of it
 - More than half
 - Half
 - Less than half
 - Very little, if any
- 10** How do you think that a friend who knows you well would describe your attitude to taking financial risks?
- Daring
 - Sometimes daring
 - A thoughtful risk taker
 - Careful
 - Very cautious and risk averse
-

- 11** If you had picked an investment with potential for large gains but also the risk of large losses how would you feel:
- Panicked and very uncomfortable
 - Quite uneasy
 - A little concerned
 - Accepting of the possible highs and lows
 - Excited by the potential for gain
- 12** Imagine that you have some money to invest and a choice of investment products, which option would you choose?
- A product with a low average annual return but almost no risk of loss of the initial investment
 - A product with a higher average annual return but some risk of losing part of the initial investment
 - A mixture of the two products above
- 13** I would prefer small certain gains to large uncertain ones
- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement
- 14** When considering a major financial decision which statement BEST describes the way you think about the possible losses or the possible gains?
- I'm excited about the possible gains
 - I'm optimistic about possible gains
 - I think about both the possible gains and losses
 - I am conscious of the possible losses
 - I worry about the possible losses
- 15** I want my investment money to be safe even if it means lower returns
- I strongly agree with this statement
 - I tend to agree with this statement
 - In between
 - I tend to disagree with this statement
 - I strongly disagree with this statement

Customer Signature:
